

## Secretary of the State

### SOS12500

#### Permanent Full-Time Positions

Fund	Actual FY 14	Actual FY 15	Governor Estimated FY 16	Original Appropriation FY 17	Governor Revised FY 17	Final FY 17	Difference Fin-Gov FY 17
General Fund	84	85	84	84	80	85	5

#### Budget Summary

Account	Actual FY 14	Actual FY 15	Governor Estimated FY 16	Original Appropriation FY 17	Governor Revised FY 17	Final FY 17	Difference Fin-Gov FY 17
Personal Services	2,539,928	2,863,765	2,923,939	2,941,115	-	2,704,459	2,704,459
Other Expenses	1,419,397	1,562,420	1,820,472	1,842,745	-	1,712,094	1,712,094
<b>Other Current Expenses</b>							
Commercial Recording Division	5,017,888	4,673,647	5,658,728	5,686,861	-	4,829,932	4,829,932
Board of Accountancy	270,087	253,977	297,114	301,941	-	-	-
Agency Operations	-	-	-	-	11,311,935	-	(11,311,935)
<b>Nonfunctional - Change to Accruals</b>	<b>60,725</b>	<b>224,552</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Agency Total - General Fund</b>	<b>9,308,025</b>	<b>9,578,361</b>	<b>10,700,253</b>	<b>10,772,662</b>	<b>11,311,935</b>	<b>9,246,485</b>	<b>(2,065,450)</b>
<b>Additional Funds Available</b>							
Carry Forward Funding	-	-	-	-	-	430,159	430,159
<b>Agency Grand Total</b>	<b>9,308,025</b>	<b>9,578,361</b>	<b>10,700,253</b>	<b>10,772,662</b>	<b>11,311,935</b>	<b>9,676,644</b>	<b>(1,635,291)</b>

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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## Policy Revisions

### Consolidate Appropriations for Agency Operations

Personal Services	(2,869,452)	-	2,869,452
Other Expenses	(1,509,987)	-	1,509,987
Commercial Recording Division	(5,164,764)	-	5,164,764
Agency Operations	9,544,203	-	(9,544,203)
<b>Total - General Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>

#### Background

Currently, state agency appropriations are distributed between multiple line items. The Governor's Revised FY 17 budget consolidates funding by collapsing most or all appropriations into a new "Agency Operations" line item. Certain major line items such as Education Cost Sharing (ECS) grants, entitlements, pension and debt service payments, remain as separate line items within their respective agencies and are not consolidated.

#### Governor

Consolidate all agency appropriations into one account.

#### Final

Maintain existing appropriated accounts.

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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### Reduce Funding for Various Accounts

Personal Services	-	(147,493)	(147,493)
Other Expenses	-	(97,893)	(97,893)
Commercial Recording Division	-	(334,832)	(334,832)
Agency Operations	(548,792)	-	548,792
<b>Total - General Fund</b>	<b>(548,792)</b>	<b>(580,218)</b>	<b>(31,426)</b>

#### Background

The Governor reduces funding in the new Agency Operations accounts across state agencies by \$267.9 million.

#### Governor

Reduce funding by \$548,792 to reflect a 5.75% reduction.

#### Final

Reduce funding by \$580,218 in various accounts.

### Adjust Funding for the Connecticut Data Collaborative

Personal Services	-	(17,500)	(17,500)
Other Expenses	(300,000)	-	300,000
<b>Total - General Fund</b>	<b>(300,000)</b>	<b>(17,500)</b>	<b>282,500</b>

#### Background

The CT Data Collaborative is a public-private partnership that advocates for the public availability of open and accessible data to drive planning, policy, budgeting and decision making in Connecticut at the state, regional and local levels.

#### Governor

Eliminate funding of \$300,000 for the CT Data Collaborative.

#### Final

Reduce funding by \$17,500 for the CT Data Collaborative to reflect a 5.75% reduction.

### Transfer Funding from CRD to the Board of Accountancy

Commercial Recording Division	(65,000)	(65,000)	-
Board of Accountancy	65,000	65,000	-
<b>Total - General Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>

#### Governor

Transfer funding of \$65,000 from the Commercial Recording Division to the Board of Accountancy to align the funds to the account from which an employee is currently compensated.

#### Final

Same as Governor

### Transfer Oversight of Accountants to DCP

Board of Accountancy	(361,595)	(361,595)	-
<b>Total - General Fund</b>	<b>(361,595)</b>	<b>(361,595)</b>	<b>-</b>
<b>Positions - General Fund</b>	<b>(4)</b>	<b>(4)</b>	<b>-</b>

#### Governor

Reduce funding by \$361,595 and eliminate four positions to reflect the transfer of Board of Accountancy responsibilities to the Department of Consumer Protection (DCP).

#### Final

Same as Governor

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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### Rollout of FY 16 DMP

Commercial Recording Division	(350,000)	(350,000)	-
<b>Total - General Fund</b>	<b>(350,000)</b>	<b>(350,000)</b>	<b>-</b>

#### Background

PA 15-1 December Special Session (AA Making Certain Structural Changes to the State Budget and Adjustments to the State Budget for the Biennium Ending June 30, 2017) made FY 16 General Fund expenditure modifications of \$195.8 million in various agencies and accounts. The Governor's FY 17 budget includes the rollout of \$90.5 million of the FY 16 DMP across various agencies.

#### Governor

Reduce funding by \$350,000 in FY 17 to reflect the rollout of expenditure reductions in PA 15-1 DSS.

#### Final

Same as Governor

### Distribute Lapses

Personal Services	(71,663)	(71,663)	-
Other Expenses	(32,758)	(32,758)	-
Commercial Recording Division	(107,097)	(107,097)	-
Board of Accountancy	(5,346)	(5,346)	-
<b>Total - General Fund</b>	<b>(216,864)</b>	<b>(216,864)</b>	<b>-</b>

#### Background

The Original FY 16 - 17 Biennial Budget included bottom line savings targets (lapses) totaling \$95,110,616 in FY 16 and \$100,816,745 in FY 17. These savings targets were allocated to agencies via holdbacks in FY 16.

#### Governor

Reduce funding by \$216,864 to reflect the allocation of these lapses in the FY 17 revised budget.

#### Final

Same as Governor

### Transfer Funding to Agencies for Fringe Benefits

Agency Operations	2,316,524	-	(2,316,524)
<b>Total - General Fund</b>	<b>2,316,524</b>	<b>-</b>	<b>(2,316,524)</b>

#### Background

Funding for fringe benefits for General Fund and Special Transportation Fund supported employees are budgeted centrally in the State Comptroller-Fringe Benefit accounts.

#### Governor

Transfer funding of \$2,316,524 from the Office of the State Comptroller to the Agency Operations account to support fringe benefit costs for employers' social security and Medicare taxes, active employee health, and the normal retirement costs for employees whose earnings are supported by the General Fund and the Special Transportation Fund.

#### Final

Maintain funding for fringe benefits within the Office of the State Comptroller.

### Increase Position Count

Personal Services	-	-	-
<b>Total - General Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Positions - General Fund</b>	<b>-</b>	<b>5</b>	<b>5</b>

#### Final

Increase the full time position count by five.

Account	Governor Revised FY 17	Final FY 17	Difference from Governor
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## Carry Forward

### Carry Forward Funding for the CT Data Collaborative

Other Expenses	-	130,159	130,159
<b>Total - Carry Forward Funding</b>	-	<b>130,159</b>	<b>130,159</b>

#### Final

Section 36(a) of PA 15-244, the FY 16 and FY 17 budget, carries forward \$130,159 in Other Expenses for the CT Data Collaborative to increase the availability of state agency data for public uses.

### Carry Forward Funding in the Commercial Recording Division

Commercial Recording Division	-	300,000	300,000
<b>Total - Carry Forward Funding</b>	-	<b>300,000</b>	<b>300,000</b>

#### Final

Section 24 of PA 16-2 MSS, the FY 17 revised budget, carries forward \$300,000 in the Commercial Recording Division account to be expended as follows:

- \$60,000 for the reprogramming of the CONCORD business database to accommodate the statutory changes governing limited liability companies; and
- \$240,000 to support the E-Regulations program.

## Totals

Budget Components	Governor Revised FY 17	Final FY 17	Difference from Governor
Original Appropriation - GF	10,772,662	10,772,662	-
Policy Revisions	539,273	(1,526,177)	(2,065,450)
<b>Total Recommended - GF</b>	<b>11,311,935</b>	<b>9,246,485</b>	<b>(2,065,450)</b>

Positions	Governor Revised FY 17	Final FY 17	Difference from Governor
Original Appropriation - GF	84	84	-
Policy Revisions	(4)	1	5
<b>Total Recommended - GF</b>	<b>80</b>	<b>85</b>	<b>5</b>

## *Other Significant Legislation*

### **PA 16-2, An Act Adjusting the State Budget for the Biennium Ending June 30, 2017**

Sections 26, 34, 35, and 36 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 17 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 16-2. This includes an Unallocated Lapse of \$92,463 and a Targeted Lapse of \$184,930. See the FY 17 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	2,704,459	(81,133)	2,623,326	3.00%
Other Expenses	1,712,094	(51,362)	1,660,732	3.00%
Commercial Recording Division	4,829,932	(144,898)	4,685,034	3.00%